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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Robert C. Herrmann, *et al.*

Serial No. Not Yet Accorded

Filed: Not Yet Accorded

For: Micro and Ultrafilters with Controlled  
Pore Sizes and Pore Size Distribution and  
Methods of Making

Group Art Unit: Not Yet Accorded

Examiner: Not Yet Accorded



INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. 1.56

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant hereby submits for filing under 37 CFR 1.97 a disclosure statement. The patents, publications or other information of which Applicant is presently aware are listed in Form PTO-1449 submitted herewith and copies of all such patents and publications are attached hereto.

No fee is believed due for this submittal. However, any fee deficiency associated with this submittal may be charged to Deposit Account No. 50-1123.

Respectfully submitted,

A handwritten signature of Steven C. Petersen.

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10/25/01  
Date

<b>FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE</b> <b>(Modified) PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)				<b>ATTY. DOCKET NO. ELL001US2 CON</b> <b>Client/Matter No. 82236.0002.002</b>		<b>SERIAL NO.: Not yet accorded</b>	
				<b>APPLICANT: Robert C. Herrmann and Steven Landin</b>			
				<b>FILING DATE: Not yet assigned</b>		<b>GROUP: Not yet accorded</b>	

J1046 U.S. PTO  
 10/003979  
 10/25/01

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	3,453,104	July 1, 1969	De Marchi, et al.	75	206	November 28, 1967	
	3,471,028	October 7, 1969	Miller, et al.	210	457	August 21, 1967	
	3,570,059	March 16, 1971	Mott, L.	18	8	July 24, 1969	
	3,746,642	July 17, 1973	Bergstrom, T.	210	446	April, 20, 1971	
	3,751,271	August 7, 1973	Kimura, et al.	106	40	May 11, 1971	
	3,788,486	January 29, 1974	Bergstrom, T.	210	496	September 30, 1971	
	3,897,221	July 29, 1975	Salzer, et al.	29	191.2	September 30, 1971	
	3,923,653	December 2, 1975	Lavins, Jr., P.	210	71	September 27, 1972	
	3,980,445	September 14, 1976	Aleshin, et al.	29	182	July 3, 1974	
	4,014,680	March 29, 1977	Reen, O.	75	.5 BA	June 25, 1976	
	4,069,157	January 17, 1978	Hoover, et al.	210	433 M	November 20, 1975	
	4,082,834	April 4, 1978	Grossman, et al.	423	210	December 18, 1975	
	4,301,012	November 17, 1981	Puckett, D.	210	457	April 25, 1979	
	4,407,656	October 4, 1983	Hofer, F.	445	10	May 8, 1981	
	4,407,657	October 4, 1983	Fransen, J.	445	17	May 8, 1981	
	4,435,483	March 6, 1984	Ahslund, et al.	428	566	February 8, 1982	
	4,552,719	November 12, 1985	Morimoto, et al.	419	2	August 31, 1983	
	4,562,039	December 31, 1985	Koehler, P.	419	2	June 27, 1984	
	4,613,369	September 23, 1986	Koehler, P.	75	246	September 30, 1985	
	4,708,741	November 24, 1987	Amaya, G.	75	251	June 13, 1986	
	4,717,455	January 5, 1988	Textor, et al.	204	33	November 18, 1986	
	4,738,874	April 19, 1988	Berardo, et al.	427	244	December 26, 1985	
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4,758,272	July 19, 1988	Pierotti, et al.	75	246	May 27, 1987
		4,762,619	August 9, 1988	Gaddis, et al.	210	639	August 19, 1986
		4,797,211	January 10, 1989	Ehrfeld, et al.	210	321.84	December 24, 1986
		4,801,379	January 31, 1989	Ehram, et al.	210	498	July 15, 1987
		4,812,236	March 14, 1989	Ehram, C.	210	490	October 16, 1987
		4,826,642	May 2, 1989	Degen, et al.	264	45.3	June 8, 1988
		4,834,876	May 30, 1989	Walker, N.	210	185	March 14, 1988
		4,876,013	October 24, 1989	Shmidt, et al.	210	650	February 26, 1988
		4,888,114	December 19, 1989	Gaddis, et al.	210	500.25	February 10, 1989
		4,935,139	June 19, 1990	Davidson, et al.	210	490	May 22, 1989
		4,963,261	October 16, 1990	Witkowski, et al.	210	490	August 28, 1989
		5,114,447	May 19, 1992	Davis, C.	55	485	March 12, 1991
		5,154,815	October 13, 1992	O'Neill, J.	205	75	October 23, 1991
		5,158,586	October 27, 1992	Layton, H.	55	497	January 6, 1992
		5,188,734	February 23, 1993	Zepf, R.	210	490	February 21, 1992
		5,194,200	March 16, 1993	Anderson, et al.	264	56	October 8, 1991
		5,196,120	March 23, 1993	White, L.	210	504	April 2, 1992
		5,198,112	March 30, 1993	Martin, et al.	210	490	January 12, 1990
		5,208,190	May 4, 1993	Anderson, et al.	501	12	October 8, 1991
		5,215,724	June 1, 1993	Haerle, H.	422	180	September 5, 1991
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,238,477	August 24, 1993	Layton, H.	55	497	September 21, 1992
		5,238,613	August 24, 1993	Anderson, D.	264	22	February 12, 1992
		5,250,184	October 5, 1993	Maier, W.	210	653	May 13, 1992
		5,262,198	November 16, 1993	Wu, et al.	427	249	April 8, 1991
		5,262,199	November 16, 1993	Desu, et al.	427	255.1	April 17, 1992
		5,277,819	January 11, 1994	Abrams, H.	210	636	June 3, 1992
		5,308,533	May 3, 1994	Hotaling, et al.	252	181.6	November 12, 1992
		5,332,597	July 26, 1994	Carolan, et al.	427	243	November 9, 1992
		5,364,586	November 15, 1994	Trusov, et al.	419	2	August, 17, 1993
		5,378,426	January 3, 1995	Geibel, et al.	419	2	October 21, 1992
		5,387,339	February 7, 1995	Lee, et al.	210	326	December 17, 1993
		5,456,740	October 10, 1995	Snow, et al.	96	11	June 22, 1994
		5,487,771	January 30, 1996	Zeller, R.	55	523	July 27, 1994
		5,279,737	January 18, 1994	Sekhar, et al.	210	490	June 3, 1993
		5,405,529	April 11, 1995	Shimai, et al.	210	496	July 19, 1993
		4,980,062	December 25, 1990	Block	210	490	August 2, 1987
		5,589,081	December 31, 1996	Harris	210	804	January 11, 1996
		3,241,681	March 22, 1966	D.B. Pall	210	493	February 9, 1959
		2,457,051	December 21, 1948	C.C.S. Le Clair	29	148	May 25, 1945
		3,111,396	November 19, 1963	B.B. Ball	25	156	December 14, 1960

  

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION							
		DOCUMENT NUMBER	PUBLISHED DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION

  

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		

  

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